



PATENT

Case Docket No. ALAMM.001A

Date: October 17, 2003

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1027-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael T. Aarons  
Appl. No. : 09/836,729  
Filed : April 17, 2001  
For : FINANCIAL TRANSACTION  
CARD  
Examiner : Unknown  
Group Art Unit : 2152

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October 17, 2003

(Date)

Arthur S. Rose, Reg. No. 28,038

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## TRANSMITTAL LETTER

OCT 22 2003

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with one (1) reference.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) A return prepaid postcard.

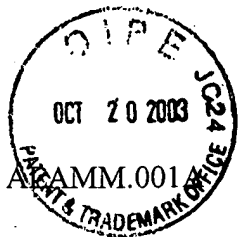
Arthur S. Rose

Registration No. 28,038

Attorney of Record

Customer No. 20,995

(949) 760-0404



PATENT

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Applicant : Michael T. Aarons ) Group Art Unit 2152  
App. No. : 09/836,729 )  
Filed : April 17, 2001 )  
For : FINANCIAL TRANSACTION )  
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2100

Dear Sir:

Enclosed is form PTO-1449 listing one reference that is also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months days/months prior to the filing of this Information Disclosure Statement.

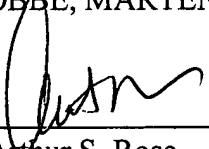
Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this

**Appl. No.** : 09/836,729  
**Filed** : April 17, 2001

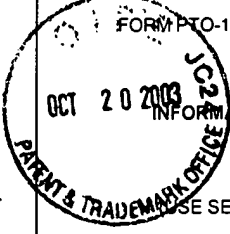
application. Further, Applicant makes no representation regarding the completeness of this list, nor represents that better art does not exist.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/17/03

By:   
Arthur S. Rose  
Registration No. 28,038  
Attorney of Record  
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 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. ALAMM.001A	APPLICATION NO. 09/836,729
	APPLICANT Michael T. Aarons	
	FILING DATE April 17, 2001	GROUP 2152

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1						
	2						
	3						
	4						
	5						
	6						
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	9						
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11							
	12							
	13							
	14							
	15							
	16							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	17	PCT International Preliminary Examination Report for International Application No. PCT/US01/41573 mailed July 18, 2003
	18	
	19	
	20	
	21	

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	